

Total No. of Questions : 8]

SEAT No. :

P945

[Total No. of Pages : 2

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LL.B (Semester - VI)

Third Year of Three Year Law Course

INVESTMENT & SECURITIES LAWS (Optional)

(2003 Pattern)

Time : 3 Hours]

[Max. Marks : 100

Instructions to the candidates:

- 1) Question No. 8 is compulsory, out of the remaining attempt any five questions.*
- 2) Question No. 8 is for 20 marks and remaining questions are for 16 marks each.*

Q1) Examine Pre-Independence and Post-Independence historical evolution of securities Laws in India.

Q2) Define Debenture and distinguish between debenture and share.

Q3) Who is an underwriter? Explain his role and function.

Q4) What are the powers of The Central Government superseding of the securities and Exchange Board of India (SEBI)?

Q5) Explain the concept of primary market. Who are the players of the primary market? What are the advantages to the issuing company if the shares are listed in the primary market.

Q6) Define the term prospectus and examine the liabilities for mis-statement in the prospectus.

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Q7) Define the terms 'Securities' and 'Stock Exchange' under the Securities contracts (Regulation) Act, 1956.

Q8) Write short notes on any four:

- a) Call and Put options
- b) Investment vis a vis speculation
- c) Derivatives
- d) Investment by Foreign Financial Institutions
- e) Over the counter Exchange of India
- f) Corporatisation of Stock Exchange

